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PATENT

Docket No. 393032015900

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Assistant Commissioner for Patents, Washington, D.C. 20231, on September 6, 2001.

David T. Yang

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In the reissue application of:

SHIMIZU

Serial No.: 09/456,877

Filing Date: December 8, 1999

Original Patent No.: 5,696,342

Original Filing Date: July 3, 1996

For: TONE WAVEFORM GENERATING  
METHOD AND APPARATUS BASED  
ON SOFTWARE

Examiner: Fletcher, M.

Group Art Unit: 2837

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**INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicant submits for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

The documents listed on the attached Form PTO-1449 were cited in two Office Actions (copies attached, including English translations) directed to a corresponding Japanese application.

I hereby certify that each item of information was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. Accordingly, no fee or separate requirements are required.


Applicant would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 is to the best of my knowledge and is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing **393032015900**. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: September 6, 2001

Respectfully submitted,

By:   
David T. Yang  
Registration No. 44,415

Morrison & Foerster LLP  
555 West Fifth Street  
Suite 3500  
Los Angeles, California 90013-1024  
Telephone: (213) 892-5601  
Facsimile: (213) 892-5454